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Substitute for form 1449B/PTO Complete if Known ORMATION DISCLOSURE Application Number 09/880,078 2005 STATEMENT BY APPLICANT Filing Date 06/14/2001 First Named Inventor Mike BURNS et al. Submitted: March 23, 2005 **Group Art Unit** 3628 as many sheets as necessary) **Examiner Name** Nga Nguyen **Attorney Docket Number** 024051-0150

		NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ
m	C1 v	"APT: A trading system for the future," The London International Financial Futures Exchange (LIFFE), 1990, 11 pages.	
m	C2、	Trading Screen, INTEX of Bermuda, 1984, one page.	
m	СЗ	B.W. WEBER, "Information Technology in the Major International Financial Markets," Stern School of Business, New York University, April 7, 1993, pp. 1-43.	
m	C4	Trading Screen, TIFFE Exchange, circa 1989-1990, one page.	
m	C5	Trading Screen, MEFF Exchange, 1990, one page.	1
mn	C6	C. CAVALETTI, "Order Routing,", FUTURES MAGAZINE, February 1997, pp. 68-70.	
om	C7	"AURORA: The most technologically advanced trading system available today," Chicago Board of Trade, 1989, 11 pages.	
m	C8	"One Click Trading Options," Trading Technologies, Inc., 1998, one page.	
mv	C9	Trading Screen, SWX Exchange, 1990, two pages.	
m	C10	"Expanding futures and options trading around the world, around the clock," GLOBEX, 1989, 48 pages.	
avv	C11	S. HANSELL, "The computer that ate Chicago," INSTITUTIONAL INVESTOR, February 1989, 5 pages.	
401	C12	"Sydney Futures Exchange Announces Plans to Join GLOBEX," GLOBEX REPORT: An Update on the CME global electronic exchange, Vol. II, No. 2, February 10, 1989, 4 pages.	\dagger
m	C13	New York Mercantile Exchange (NYMEX) Access Documents, February 28, 1992, 413 pages.	-
mu	C14	The Computer Assisted Trading System (CATS) Traders' Manual, Toronto Stock Exchange, September 30, 1977, 142 pages.	\dagger

Examiner Signature	Mganguyen	Date Considered	9/29/05

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Substitute for form 1449B/PTO			Complete if Known		
EINEORMATIO	N DISCLO	SURE	Application Number	09/880,078	· · · · · · · · · · · · · · · · · · ·
SEATEMENT			Filing Date	06/14/2001	
MAR 2 3 2005 are submitted: March 23, 2005			First Named Inventor	Mike BURNS et al.	
			Group Art Unit	3628	
(use many sheets as necessary)		Examiner Name	Nga Nguyen		
sheet 2	of	2	Attorney Docket Number	024051-0150	

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
m.	C15	Market Watch trading screen, date not available, 1 page.	
m	C16	GRUMMER et al., "Preliminary Feasibility Study," Bermudex Ltd., November 1980, 100 pages.	
m	C17	PEAKE et al., Appendix C of Preliminmary Feasibility Study, "The ABCs of trading on a national market system," Bermudex Ltd., September 1997, 16 pages.	
m	C18	J.W. PEAKE, Appendix E of Preliminary Feasibility Study, "The last fifteen meters, "Bermudex Ltd., June 15, 1997, 18 pages.	
m	C19	Declaration of Brendan Bradley in Case No. 04 C 5312, November 18, 2004, 27 pages.	
m	C20	Memorandum Opinion and Order of Judge James B. Moran in Case No. 04 C 5312, February 9, 2005, 22 pages.	
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Examiner Mganguyen Signature	Date Considered	9/29/05
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